

RECEIVED  
CENTRAL FAX CENTER

DEC 12 2005

COPY  
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

H. Joiner

Application No.: 10/029,686

Filed: 12/21/2001

For: COMPREHENSIVE ENTERPRISE NETWORK)  
ANALYZER, SCANNER AND INTRUSION )  
DETECTION FRAMEWORK )

Group Art Unit: 2135

Examiner: Son, linh L. D.

Atty. Docket No.: NAI1P065/  
01.307.01

Date: October 5, 2005

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on the date listed above.

Signed: 

Erica L. Farlow

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§1.56 AND 1.97(d)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.

The reference(s) listed in the attached PTO Form 1449, cop(ies) of which is attached (when necessary), may be material to examination of the above-identified patent application. Applicants submit the reference(s) in compliance with their duty of disclosure pursuant to 37

CFR §§ 1.56 and 1.97. The Examiner is requested to make the reference(s) of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that the reference(s) indeed constitutes prior art.

Applicants hereby state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement.

Applicants are including payment in the amount of \$180.00 for the fee due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees or credit any overpayment to Deposit Account 50-1351 (Order No. NAIIP065).

Respectfully submitted,  
Zilka-Kotab, PC

Kevin J. Zilka  
Reg. No. 41,429

P.O. Box 721120  
San Jose, CA 95172-1120  
Telephone: (408) 971-2573

|                                                                                                                                      |                    |                  |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------|------------------|
| <b>Form 1449 (Modified)</b><br><br><b>Information Disclosure<br/>Statement By Applicant</b><br><br>(Use Several Sheets if Necessary) | Atty. Docket No.   | Application No.: |
|                                                                                                                                      | NAIIP065/01.307.01 | 10/029,686       |
|                                                                                                                                      | Applicant:         |                  |
|                                                                                                                                      | H. Joiner          |                  |
|                                                                                                                                      | Filing Date:       | Group Art Unit:  |
|                                                                                                                                      | 12/21/2001         | 2135             |

**U.S. Patent Documents**

| Examiner<br>Initial | No. | Patent No. | Date | Patentee | Class | Sub-<br>class | Filing<br>Date |
|---------------------|-----|------------|------|----------|-------|---------------|----------------|
|                     | A   |            |      |          |       |               |                |
|                     | B   |            |      |          |       |               |                |
|                     | C   |            |      |          |       |               |                |
|                     | D   |            |      |          |       |               |                |
|                     | E   |            |      |          |       |               |                |
|                     | F   |            |      |          |       |               |                |
|                     | G   |            |      |          |       |               |                |
|                     | H   |            |      |          |       |               |                |
|                     | I   |            |      |          |       |               |                |
|                     | J   |            |      |          |       |               |                |
|                     | K   |            |      |          |       |               |                |

**Foreign Patent or Published Foreign Patent Application**

| Examiner<br>Initial | No. | Document<br>No. | Publication<br>Date | Country or<br>Patent Office | Class | Sub-<br>class | Translation |    |
|---------------------|-----|-----------------|---------------------|-----------------------------|-------|---------------|-------------|----|
|                     |     |                 |                     |                             |       |               | Yes         | No |
|                     | L   |                 |                     |                             |       |               |             |    |
|                     | M   |                 |                     |                             |       |               |             |    |
|                     | N   |                 |                     |                             |       |               |             |    |
|                     | O   |                 |                     |                             |       |               |             |    |
|                     | P   |                 |                     |                             |       |               |             |    |

**Other Documents**

| Examiner<br>Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication                                     |
|---------------------|-----|----------------------------------------------------------------------------------------------|
|                     | R   | Copy of Office Action Summary from application no. 10/029,616 which was mailed on 08/09/2005 |
|                     | S   |                                                                                              |
|                     | T   |                                                                                              |
| Examiner            |     | Date Considered                                                                              |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## PATENT POSTCARD

Docket No. NAIIP065 Appl. No.: 10/029,686 Date: 10/05/05

By: KJZ:Elf Filing Date: 12/21/2001 Express Mail No.:

Inventor(s): Herbert V. Joiner

Title: COMPREHENSIVE ENTERPRISE NETWORK ANALYZER, SCANNER AND  
INTRUSION DETECTION FRAMEWORK

The following has been received in the U.S. Patent & Trademark Office on the date stamped below:

- X PRC Information Disclosure Statement
- X PTO Form 1449
- X Copy of Cited Office Action
- X Check in the amount of \$180.00
- X Return Postcard



*added 10/17/05  
cmp*

